

**ANNEX II**

**Union Position on certain proposals submitted to the 18th meeting of the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), Colombo, Sri Lanka, 23 May – 3 June 2019**

|  |  |  |
| --- | --- | --- |
| "+" indicates a position in favour | "–" indicates a position against | "0" indicates an open position |
| "( )" indicates the need for further analysis | | |

# Working Documents

| ***No.*** | ***Agenda item*** | | | | ***Proponent***[[1]](#footnote-2) | ***Comments*** | ***Position*** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Opening ceremony | | | | |  | No document |  |
| **Administrative and financial matters** | | | | | | | |
| 1. | **Election** of Chair, Alternate Chair and Vice-Chairs of the meeting and of Chairs of Committees I and II | | | |  | No document |  |
| 2. | Adoption of the **agenda** | | | |  |  |  |
| 3. | Adoption of the **working programme** | | | |  |  |  |
| 4. | **Rules of Procedure** | | | |  |  |  |
|  | 4.1 | Rules of Procedure for the 18th meeting of the Conference of the Parties CoP18 Doc. 4.1 | | | Sec. | Take note of doc. containing current RoP, which will remain valid unless and until amended by CoP (cf. Rule 32). |  |
|  | 4.2 | Review of the Rules of Procedure CoP18 Doc. 4.2 | | | SC | Support for keeping RoP unchanged at CoP18; also support new mandate for SC to review Rule 25 (*Procedure for deciding on amendments to Appendices*) by CoP19. | **+** |
| 5. | **Credentials** Committee | | | |  |  |  |
|  | 5.1 | Establishment of the Credentials Committee (no document) | | |  | No document |  |
|  | 5.2 | Report of the Credentials Committee (no document) | | |  | No document |  |
| 6. | Admission of **observers** | | | |  |  |  |
| 7. | **Administration, finance and budget** of the Secretariat and of meetings of the Conference of the Parties | | | |  |  |  |
|  | 7.1 | Administration of the Secretariat CoP18 Doc. 7.1 | | |  |  |  |
|  | 7.2 | Report of the Executive Director of UNEP on administrative and other matters | | |  |  |  |
|  | 7.3 | Financial reports for 2016-2019 CoP18 Doc. 7.3 | | |  |  |  |
|  |  | Annex 1: Financial report on costed programme of work for 2016 CoP18 Doc. 7.3 A1 | | |  |  |  |
|  |  | Annex 2: CITES Trust Fund (CTL) – status of contributions as of 31 December 2016 CoP18 Doc. 7.3 A2 | | |  |  |  |
|  |  | Annex 3: CITES External Trust Fund (QTL) – status of contributions as of 31 December 2016 CoP18 Doc. 7.3 A3 | | |  |  |  |
|  |  | Annex 4: Financial report on costed programme of work for 2017 CoP18 Doc. 7.3 A4 | | |  |  |  |
|  |  | Annex 5: CITES Trust Fund (CTL) – status of contributions as of 31 December 2017 CoP18 Doc. 7.3 A5 | | |  |  |  |
|  |  | Annex 6: CITES External Trust Fund (QTL) – status of contributions as of 31 December 2017 CoP18 Doc. 7.3 A6 | | |  |  |  |
|  |  | Annex 7: Financial report on costed programme of work for 2018 CoP18 Doc. 7.3 A7 | | |  |  |  |
|  |  | Annex 8: CITES Trust Fund (CTL) – status of contributions as of 31 December 2018  CoP18 Doc. 7.3 A8 | | |  |  |  |
|  |  | Annex 9: CITES Trust Fund (CTL) – annual distribution of the unpaid contributions as of 31 December 2018  CoP18 Doc. 7.3 A9 | | |  |  |  |
|  |  | Annex 10: CITES External Trust Fund (QTL) – status of contributions as of 31 December 2018 CoP18 Doc. 7.3 A10 | | |  |  |  |
|  |  | Annex 11: Statement of financial performance and position for the year ended 31 December 2017 CoP18 Doc. 7.3 A11 | | |  |  |  |
|  |  | Annex 12: Financial report on costed programme of work for 2019 (up to 31 March 2019) | | |  |  |  |
|  |  | Annex 13: CITES Trust Fund (CTL) – status of contributions as of 31 March 2019 | | |  |  |  |
|  |  | Annex 14: CITES External Trust Fund (QTL) – status of contributions as of 31 March 2019 | | |  |  |  |
|  | 7.4 | Budget and work programme for 2020 to 2022 CoP18 Doc. 7.4 | | |  |  |  |
|  |  | Annex 1: Draft Resolution on *Financing and the costed programme of work for the Secretariat for the triennium 2020-2022* CoP18 Doc. 7.4 A1 | | |  |  |  |
|  |  | Annex 2: Budget scenario – zero real growth  CoP18 Doc. 7.4 A2 | | |  |  |  |
|  |  | Annex 3: Budget scenario – zero nominal growth  CoP18 Doc. 7.4 A3 | | |  |  |  |
|  |  | Annex 4: Budget scenario – incremental growth  CoP18 Doc. 7.4 A4 | | |  |  |  |
|  | 7.5 | Access to funding, including GEF funding  CoP18 Doc. 7.5 | | |  |  |  |
|  | 7.6 | ***Sponsored delegates*** project  CoP18 Doc. 7.6 | | | Sec. | Support for keeping current selection criteria; Sec. to explore extension of the project from CoP to Committee meetings. | **+** |
| 8. | Draft resolution on **language strategy** for the Convention  CoP18 Doc. 8 | | | | IQ | Unclear why Arabic should be added as official CITES language but not other UN languages (Chinese, Russian). Budgetary implications and potential further delays in document production militate against the proposal. | **–** |
| **Strategic matters** | | | | | | | |
| 9. | **Committee** reports and recommendations | | | |  |  |  |
|  | 9.1 | ***Standing*** Committee | | |  |  |  |
|  |  | 9.1.1 | | Report of the Chair  CoP18 Doc. 9.1.1 |  |  |  |
|  |  | 9.1.2 | | Election of new regional and alternate regional members (no document) |  |  |  |
|  | 9.2 | ***Animals*** Committee | | |  |  |  |
|  |  | 9.2.1 | | Report of the Chair |  |  |  |
|  |  | 9.2.2 | | Election of new regional and alternate regional members (no document) |  |  |  |
|  | 9.3 | ***Plants*** Committee | | |  |  |  |
|  |  | 9.3.1 | | Report of the Chair  CoP18 Doc. 9.3.1 |  |  |  |
|  |  | 9.3.2 | | Election of new regional and alternate regional members (no document) |  |  |  |
| 10. | CITES **Strategic Vision** post-2020  CoP18 Doc. 10 | | | | SC | Support for adoption of revised Strategic Vision; task Sec. to compare objectives with existing decisions, resolutions; mandate SC to work on indicators. | **+** |
| 11. | **Review of the Convention** | | | | CO, NA, ZW | Raises some relevant issues as regards livelihoods and review of Appendices. However, the proposal seems biased in its current form and scope, as well as insufficiently prepared, based on historical deliberations without in-depth reflection of increasingly complex wildlife trade and conservation challenges, and given the potentially far-reaching consequences. Addressees of draft Decisions are not specified. Further discussion needed, also in connection with other proposals on rural communities etc. (items 17, 18) and with regard to resource needs. | **(–)** |
| 12. | Securing better implementation of **marine fish species listings** in the Appendices  CoP18 Doc. 12 | | | | AG | Acknowledge that support for more effective implementation of marine listings is still needed. However, review of the effectiveness of previous listings should focus on particular cases, with clear justification, and make use of existing mechanisms and recommendations from previous reviews rather than establish a new ad-hoc process. Oppose an “embargo” on new listings of any group of species; what matters is whether listing criteria are fulfilled. | **–** |
| 13. | Revision of Resolution Conf. 11.1 (Rev. CoP17) on ***Establishment of committees***CoP18 Doc. 13 | | | | SC/ Sec. | Support proposed new Resolution; amendments suggested by the Secretariat need further consideration. | **(+)** |
| 14. | Potential **conflicts of interest** in the Animals and Plants Committees CoP18 Doc. 14 | | | | SC | SC70 adopted standard disclosure form for declarations of interest; support for deletion of Decisions 16.09 and 16.10. | **+** |
| 15. | **Cooperation** with organizations and multilateral environmental agreements | | | |  |  |  |
|  | 15.1 | Cooperation with ***other biodiversity-related conventions*** CoP18 Doc. 15.1 | | | SC | Support renewal of Decisions 17.55 and 17.56.  Synergies among biodiversity MEAs should continue to be strengthened and it is appropriate for the SC to keep matters under review. | **+** |
|  | 15.2 | Commission for the Conservation of Antarctic Marine Living Resources (***CCAMLR***) CoP18 Doc. 15.2 | | | Sec. | Support amendments to Res. Conf. 12.4 jointly proposed by CITES and CCAMLR Secretariats. | **+** |
|  | 15.3 | Global Strategy for ***Plant Conservation***  CoP18 Doc. 15.3 | | | SC | Support new draft Decisions to replace Decisions 17.53 and 17.54. | **+** |
|  | 15.4 | Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (***IPBES***) CoP18 Doc. 15.4 | | | SC/Sec. | Support the draft resolution on *Cooperation with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services* in Annex 3 of the document. | **+** |
|  | 15.5 | The International Consortium on Combating Wildlife Crime (***ICCWC***)  CoP18 Doc. 15.5 | | | Sec. | Support call on Parties to continue funding ICCWC activities. | **+** |
|  | 15.6 | | Cooperation between CITES and the ***World Heritage*** Convention  CoP18 Doc. 15.6 | | Norway | Support the draft Resolution (which calls for developing a Memorandum of Understanding between the WHC and CITES) and draft Decision set out in Annexes 1 and 2. | **+** |
| 16. | CITES **tree** species programme | | | |  | *Document not yet available on 1/3.* |  |
| 17. | **Rural communities** | | | |  | *Consider jointly with related proposals under Item 18.* |  |
|  | 17.1 | | Report of the Standing Committee  CoP18 Doc. 17.1 | | SC/Sec. | Open to consider Secretariat proposal to amend Res. Conf. 16.6 on *Livelihoods*.  Proposal to delete CoP17 Decisions could be premature; further inter-sessional work might be warranted (join up with Livelihoods WG?). | **0** |
|  | 17.2 | | Proposed amendments to Resolution Conf. 4.6 (Rev. CoP17) [*Submission of draft resolutions*] and Resolution Conf. 9.24 (Rev. CoP17)  CoP18 Doc. 17.2 | | NA, ZW | Suggested amendment to Res. 4.6 as drafted seems out of place, because the resolution is otherwise focused on formalities and procedures for submission of proposals.  Any amendments to Res. 9.24 would at least need to clarify the geographical scope of consultations with rural communities, i.e. each Party within its own territory; require further discussion.  *Consider jointly with doc. 18.3.* | **(–)** |
|  | 17.3 | | Participatory mechanism for rural communities | | BW, CO, NA, ZW | Oppose the establishment of a permanent Rural Communities Committee; consider alternative ways of making the voice of rural communities heard. | **–** |
| 18. | CITES and **livelihoods** | | | |  | *Consider jointly with related proposals under Item 17.* |  |
|  | 18.1 | | Report of the Secretariat CoP18 Doc. 18.1 | | Sec. | Support re-establishment of WG, development of guidance through consultancy, for consideration by CoP19. | **+** |
|  | 18.2 | | Proposal by Peru  CoP18 Doc. 18.2 | | PE | Open for continuation of Working Group but question the proposed addition of work on a possible certification system for "products of CITES-listed species produced by rural communities consistent with CITES provisions" to its ToR.  Generally open also for establishment of an "International Day for Livelihoods of Rural Communities" but specific Resolution might not be needed for that purpose; also consider resource implications. | **0** |
|  | 18.3 | | Proposed amendments to Resolution Conf. 9.24 (Rev. CoP17)  CoP18 Doc. 18.3 | | CN | Idea of including some kind of "Livelihood analyses" in supporting statement for listing proposals (Res. Conf. 9.24, Annex 6) could be considered but does not seem ripe for decision at CoP18; proposed wording partly unclear.  *Consider jointly with doc. 17.2.* | **(0)** |
| 19. | **Food security** and livelihoods  CoP18 Doc. 19 | | | | SC | Oppose renewal of decisions, as WG has not progressed and very similar issues are addressed under "Rural communities" and "Livelihoods"; support Secretariat comments. | **–** |
| 20. | **Demand reduction** strategies to combat illegal trade in CITES-listed species  CoP18 Doc. 20 | | | | SC | Support adoption of the draft Decisions in Annex 1 and agree to deletion of Decisions 17.44 to 17.48. | **+** |
| 21. | **Capacity building** and identification materials | | | |  |  |  |
|  | 21.1 | | Capacity-building and identification materials  CoP18 Doc. 21.1 | | AC/PC | Support draft Decisions to establish joint AC/PC working group on identification materials; also SUPPORT Sec. proposal to delete Decisions covered under 54.1. | **+** |
|  | 21.2 | | Capacity-building activities specified in Resolutions and Decisions  CoP18 Doc. 21.2 | | SC | Support adoption of the draft Decisions (revision, enhancement of CITES website, Virtual College online courses) with the Sec. proposes changes.  *Consider jointly with 21.3, 28, 29.* | **+** |
|  | 21.3 | | Framework to facilitate coordination, transparency and accountability of CITES capacity-building efforts  CoP18 Doc. 21.3 | | US | Valuable initiative but adoption of the draft Resolution and framework at CoP18 seems premature. Support integration of relevant elements into 21.2 instead, as proposed by Sec., for consideration by SC and CoP19.  *Consider jointly with docs. 21.2, 28.* | **(+)** |
| 22. | United Nations **World Wildlife Day** | | | |  | Support draft amendments to Resolution Conf. 17.1 inviting Parties and non-Party States to designate one focal point for coordinating the celebration of World Wildlife Day in the country. | **+** |
| 23. | **Youth** engagement  CoP18 Doc. 23 | | | | SC | Support suggested revisions to Resolution Conf. 17.5 on youth engagement (Annex 1) and delete Decisions 17.26 and 17.27. | **+** |
| **Interpretation and implementation matters** | | | | | | | |
| Existing Resolutions and Decisions | | | | |  |  |  |
| 24. | Review of **Resolutions**  CoP18 Doc. 24 | | | | Sec. | Generally support amendments to Resolutions Conf. 4.6, *Submission of documents* (incl. on centralised funding decision); review suggested incorporation of Dec. 14.19. Support amendments to Resolutions 12.8, *Review of Significant Trade* (as per SC70) and 14.3, *Compliance procedures* (incl. Res. 10.10). | **(+)** |
| 25. | Review of **Decisions** | | | |  | *Document not available yet on 1/3.* |  |
| General compliance and enforcement | | | | |  |  |  |
| 26. | **National laws** for implementation of the Convention | | | | Sec. | Support set of Decisions but call for more clarity on the timelines, and consider proposing additional measures, for Parties with legislation in category 2 or 3 to submit to the Secretariat details of measures for the effective implementation of the Convention. | **(+)** |
| 27. | CITES **compliance** matters | | | | Sec. | Support proposed amendment to Res. Conf. 11.3 (Rev. CoP17) and adoption of draft Decisions aiming at reviewing CITES permit policy and related compliance matters. | **+** |
| 28. | Compliance **Assistance Programme** | | | | Sec. | Generally support set of Decisions aiming at establishing a Compliance Assistance Programme with a view to assisting Parties with long-standing difficulties in achieving compliance with the Convention and related recommendations of the Standing Committee. Question nonetheless budget implications related to the creation of the Programme.  *Consider jointly with doc. 21 and with budget documents.* | **(+)** |
| 29. | **Country-wide** Significant Trade Reviews CoP18 Doc. 29 | | | | AC/PC | Support mandate for Sec. to analyse and Committees to consider options, make recommendations to CoP19. | **+** |
| 30. | Compliance in relation to **Malagasy** ebonies (*Diospyros* spp.) and palisanders and rosewoods (*Dalbergia* spp.) | | | |  |  |  |
|  | 30.1 | | Report of Madagascar | |  | Take note.  *Consider jointly with doc. 30.2.* |  |
|  | 30.2 | | Report of the Standing Committee CoP18 Doc. 30.2 | | SC | Support but remain vigilant on the need not to focus all discussions on the use plan proposed by Madagascar. Call for strong focus on the need for more robust enforcement efforts to tackle illegal logging and dismantle trafficking networks. Propose to amend draft decision 18.BB to take account of the 2017 UNODC recommendations on the matter, and insert precautionary wording to ensure that any future use plan is sufficiently secure. | **(+)** |
| 31. | **Domestic markets** for frequently illegally traded specimens CoP18 Doc. 31 | | | |  | Support proposed amendment to Resolution Conf. 10.10 (Rev. CoP17) and draft revised Decisions 17.87-17.88, incl. on domestic controls of wildlife products other than elephant ivory. | **+** |
| 32. | **Enforcement** matters CoP18 Doc. 32 | | | |  | Support proposed draft Decisions and proposed amendment to Resolution Conf. 11.3 (Rev.COP17). Agree with deletion of Decisions 17.83 to 17.85. | **+** |
| 33. | Combating wildlife **cybercrime** | | | |  |  |  |
|  | 33.1 | | Report of the Secretariat CoP18 Doc. 33.1 | |  | Support proposed draft Decisions. | **+** |
|  | 33.2 | | Report of the Standing Committee CoP18 Doc. 33.2 | |  | Support proposed amendments to Resolution Conf. 11.3 (Rev. COP17) and adoption of draft Decisions as modified by the Secretariat. Agree with deletion of Decisions 17.94 to 17.96. | **+** |
| 34. | Wildlife crime enforcement support in **West and Central Africa** | | | |  | *Doc. not yet available on 1/3.* |  |
| 35. | Disposal of **confiscated specimens** CoP18 Doc. 35 | | | | SC | Support deletion of Decisions 17.118 and 17.119 and adoption of proposed draft Decisions. Oppose any additions that would compromise sensitive information about rescue centres, or would result in additional obligations for Parties. Also oppose potential resumption of SC working group. | **+** |
| 36. | Storage and management of **illegal trade data** collected through the Parties’ annual illegal trade reports CoP18 Doc. 36 | | | | SC | Overall support to the principle of more systematic storage and management of illegal trade data collected through annual illegal trade reports. Share the Secretariat’s concerns as to the limited added value of such a database if long-term funding is not guaranteed and if only a limited number of Parties actually report and provide data. Consider proposing language to encourage Parties to more systematically submit annual illegal trade reports.  *Consider jointly with budget documents.* | **(+)** |
| 37. | Working conditions of wildlife **rangers** and their implications for implementation of CITES CoP18 Doc. 37 | | | | NP | Welcome the report and acknowledge importance of the issue. |  |
| Regulation of trade | | | | |  |  |  |
| 38. | Designation and roles of **Management Authorities** CoP18 Doc. 38 | | | | Sec. | Generally support proposed Resolution. Closer scrutiny of some aspects of the text needed. | **(+)** |
| 39. | Guidance for making **legal acquisition findings** CoP18 Doc. 39 | | | | SC | Support draft resolution.  *Consider jointly with doc. 40.* | **+** |
| 40. | **Due diligence** by CITES Parties and obligations of importing countries | | | | US | Generally support the intention and approach. Closer scrutiny of some aspects of the proposed amendments to Res. Conf. 11.3 needed. | **(+)** |
| 41. | **Electronic systems** and information technologies CoP18 Doc. 41 | | | | SC | Support the proposed draft Decisions, which would replace Decisions 17.156 to 17.159 to assess the usefulness and feasibility of an *eCITES Implementation Framework* and renew the mandate of the working group. | **+** |
| 42. | **Traceability** CoP18 Doc. 42 | | | | Sec., Chair of SC, MX and CH as Chairs of the intersessional working group on traceability | Agree with the working definition of CITES traceability and support the adoption of draft decisions in Annex 1, including the proposed amendments by the Secretariat. | **+** |
| 43. | Specimens produced from **synthetic or cultured DNA** CoP18 Doc. 43 | | | | SC | Support the draft Decisions as amended by Sec. and replacing Decisions 17.89-17.91, to continue assessing the implications of specimens produced through biotechnology that may have an impact on the interpretation and implementation of the Convention. Some of the Decisions might need refinement for clarification. | **(+)** |
| 44. | Definition of the term **'appropriate and acceptable destinations'** | | | |  |  |  |
|  | 44.1 | | Report of the Standing Committee CoP18 Doc. 44.1 | | SC | Support the recommendations and draft decisions related to the definition of the term ‘appropriate and acceptable destinations’. However, some changes in the text may be needed to avoid misinterpretation. | **(+)** |
|  | 44.2 | | International trade in live African elephants: Proposed revision of Resolution Conf. 11.20 (Rev.  CoP17) on *Definition of the term 'appropriate and acceptable destinations'* CoP18 Doc. 44.2 | | BF, JO, LB, LR, NE, NG, SD, SY | The document proposes amendments to Res. Conf. 11.20 in such a way that live African elephants are only moved to in-situ conservation programmes within their natural range, thus excluding any trade in wild-caught African elephants to destinations for the purpose of ex-situ captive use, even where this would be beneficial for conservation purposes. Also consider in relation to the current regime for App. I-listed specimens. | **(–)** |
| 45. | **Non-detriment** findings  CoP18 Doc. 45 | | | | AC | Support proposed draft Decisions aiming at addressing gaps and Parties' needs in making non-detriment findings and supporting the implementation of Resolution Conf. 16.7 (Rev. CoP17). Support for a second international workshop on NDFs. | **+** |
| 46. | Quotas for **leopard hunting** trophies | | | |  | *No document available on 13/2.* |  |
| 47. | Enhancement of quotas for **markhor hunting** trophies  CoP18 Doc. 47 | | | | PK | Support the increase of hunting trophies quota for markhor in Pakistan from 12 to 20 animals per year, if Pakistan provides more information on which new communities/ areas will join the community based trophy-hunting programme.  *Consider jointly with listing proposal no. 1.* | **(+)** |
| 48. | Black **rhinoceros hunting** trophies: Export quota for South Africa | | | | ZA | Proposed increase of quota from currently 5 adult male black rhinos to max. 0.5% of the country's total population appears reasonable, if translated into annual publication by South Africa of specific quota (absolute number of specimens). | **(+)** |
| 49. | Implications of the transfer of a species to **Appendix I** | | | |  |  |  |
|  | 49.1 | | Report of the Secretariat CoP18 Doc. 49.1 | | Sec. | Support amendments to Res. Conf. 12.3, to clarify that after uplisting of a species, applicable rules are those valid at the time of trading (not harvesting), and Res. Conf. 13.6. Further analyse proposal to include a new paragraph (11) in Res. Conf. 12.3 and draft Decision for SC to consider need for guidance during transition period, including between listing decision and entry into force, and for SC to look into special conditions for annotated plant including timber species. | **(+)** |
|  | 49.2 | | Trade in 'pre-Appendix-I' specimens  CoP18 Doc. 49.2 | | CI, NG, SN | Integrate into amendments proposed in doc. 49.1. | **(+)** |
| 50. | Amendments to Resolution Conf. 10.13 (Rev. CoP15) on ***Implementation*** *of the Convention for* ***timber*** *species* [CoP18 Doc. 50](https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-050c.pdf) | | | | SC | Support but propose to include reference to the need to base NDFs on adequate conversion factors, and other minor amendments. | **(+)** |
| 51. | **Stocks and stockpiles**  CoP18 Doc. 51 | | | | SC | Support continuation of inter-sessional work with more clearly defined mandate, excluding management of stockpiles. | **+** |
| 52. | **Introduction from the sea**  CoP18 Doc. 52 | | | | SC | Support renewed mandate for Sec. to monitor implementation of Res. Conf. 14.6 and report on BBNJ negotiations; SC to review information. | **+** |
| 53. | **Purpose codes** on CITES permits and certificates  CoP18 Doc. 53 | | | | SC | Tentative support to draft amendments to Decision 14.54 and to Res. Conf. 12.3 (Rev. CoP17) on *Permits and certificates*; double-check in the light of forthcoming Sec. comments. Question the need for a new inter-sessional WG. | **(+)** |
| 54. | **Identification** of specimens in trade | | | |  |  |  |
|  | 54.1 | | Identification ***Manual***  CoP18 Doc. 54.1 | | AC, PC, Sec. | Support.  *Consider jointly with 21.1.* | **+** |
|  | 54.2 | | Identification of CITES-listed ***tree*** species  CoP18 Doc. 54.2 | | PC | Support new set of Decisions and deletion of previous decisions. | **+** |
|  | 54.3 | | Identification of ***sturgeons*** and paddlefish specimens in trade  CoP18 Doc. 54.3 | |  | Support renewal of Decisions. Consider whether any EU Member State can commit to funding the long-overdue study. | **+** |
| 55. | CITES implementation for trade in **medicinal plant** species | | | |  | *Doc. not yet available on 1/3.* |  |
| Exemptions and special trade provisions | | | | |  |  |  |
| 56. | **Simplified procedure** for permits and certificates  CoP18 Doc. 56 | | | | SC | Generally support the proposed amendments to Resolution Conf. 11.15 (Rev. CoP12), Resolution Conf. 12.3 (Rev. CoP17) and the draft Decisions directed to the Secretariat; take into account the Secretariat’s observations and consider need for further amendments. | **(+)** |
| 57. | Implementation of the Convention relating to **captive-bred** and ranched specimens  CoP18 Doc. 57 | | | | SC | Support draft Decisions as suggested by the Standing Committee and amended by the Secretariat. | **+** |
| 58. | Implementation of **Resolution Conf. 17.7** on *Review of trade in animal specimens reported as produced in  captivity* CoP18 Doc. 58 | | | | SC | Support draft Decisions as suggested by the Standing Committee and endorsed by the Secretariat.  *Consider jointly with budget documents.* | **+** |
| 59. | Definition of the term ‘**artificially propagated**’ | | | |  |  |  |
|  | 59.1 | | ***Guidance*** on the term 'artificially propagated'  CoP18 Doc. 59.1 | | PC | Support draft decision. Open to amendments proposed by the Secretariat. Suggest that publication of guidance should only happen after its review by the PC. | **(+)** |
|  | 59.2 | | ***Source codes*** for plant specimens in trade  CoP18 Doc. 59.2 | | SC | Support creation of source code Y through amendments to Resolution Conf. 11.11 (Rev. CoP17) and Resolution Conf. 12.3 (Rev. CoP17), as well as draft Decisions. Additional amendments proposed by the Secretariat require nonetheless more scrutiny in order not to undermine the initial SC proposal (in particular regarding agarwood and the need for NDFs). | **(+)** |
| **Species specific matters** | | | | | | | |
| 60. | Illegal trade in **cheetahs**  (*Acinonyx jubatus*)  CoP18 Doc. 60 | | | | Sec. | Support the draft Decision calling upon the Secretariat to make available the final version of the CITES cheetah trade resource kit, subject to availability of resources. Agree to deletion of decisions 17.124 to 17.130. | **+** |
| 61. | **Sturgeons** and paddlefish (Acipenseriformes spp.) | | | | Sec. | Support renewed mandate for SC to look into caviar labelling. Consider whether there is a need for clearer guidance on focus and scope to make progress. | **(+)** |
| 62. | Draft decisions on the conservation of **amphibians** (Amphibia) | | | | CR | Broad range of draft Decisions addressed to Parties, Committees and Secretariat, proposed without supporting statement or prior consideration by AC, SC. The information required is not specific for amphibians but would apply to all CITES-listed taxa. More focused proposal with concrete actions could be considered favourably. | **0** |
| 63. | **Eels** (*Anguilla* spp.)  CoP18 Doc. 63 | | | | AC, SC, Sec. | Support draft Decisions. | **+** |
| 64. | Precious **corals** (Order Antipatharia and family Coralliidae) | | | | SC | Support the draft decision mandating the AC and SC to analyse and draw conclusions from the precious corals survey and the FAO study. | **+** |
| 65. | Implementation of Resolution Conf. 16.10 on *Implementation of the Convention for* ***agarwood****-producing taxa* [*Aquilaria* spp. and *Gyrinops* spp.] CoP18 Doc. 65 | | | | PC | Support adoption of draft decisions on agarwood-producing taxa and deletion of previous decisions. | **+** |
| 66. | Trade in ***Boswellia***spp. (Burseraceae)  CoP18 Doc. 66 | | | | LK, US | Support set of draft decisions. | **+** |
| 67. | **Humphead wrasse** (*Cheilinus undulatus*)  CoP18 Doc. 67 | | | | SC | Agree with the draft Decision aiming at providing assistance to the main exporting and importing countries to address remaining implementation challenges. | **+** |
| 68. | **Sharks** and rays (Elasmobranchii spp.) | | | |  |  |  |
|  | 68.1 | | Report of the Animals Committee | | AC | Note the report. |  |
|  | 68.2 | | Report of the Secretariat | |  | *Document not yet available on 1/3.* |  |
| 69. | **Elephants** (Elephantidae spp.) | | | |  |  |  |
|  | 69.1 | | ***Implementation*** of Resolution Conf. 10.10 (Rev. CoP17) on *Trade in elephant specimens* | | Sec./ SC | Stocks and stockpiles: support Decision for SC to review guidance prepared by Sec. | **+** |
|  |  | |  | |  | Asian elephants: open to support renewal of Decisions but need greater buy-in from range States for this to be effective. | **(+)** |
|  |  | |  | |  | NIAPs: support proposed revisions to Res. Conf. 10.10, para. 26 g) and Annex III (NIAP process guidelines) and to Res. Conf. 14.3 on *Compliance* (🡪 doc. 24);  consider Sec. request for creation of new post (🡪 budget). | **+** |
|  |  | |  | |  | ETIS review: support ToR as agreed at SC70. | **+** |
|  |  | |  | |  | MIKE, ETIS financial and operational sustainability: support draft Dec. for Sec. to develop proposal (costs: 30K USD), SC to review. | **+** |
|  |  | |  | |  | Domestic ivory markets: Support proposed amendment to Res. Conf. 10.10 as contained in doc. 31. | **+** |
|  | 69.2 | | Report on Monitoring the Illegal Killing of Elephants (***MIKE***) CoP18 Doc. 69.2 | | Sec. | Take note of the report. |  |
|  | 69.3 | | Report on the Elephant Trade Information System (***ETIS***) CoP18 Doc. 69.3 | | Sec. | Take note of the report; consider implications for NIAP process (specific countries identified by ETIS not currently under NIAP). |  |
|  | 69.4 | | Ivory ***stockpiles***: proposed revision of Resolution Conf. 10.10 (Rev. CoP17) on *Trade in elephant specimens* CoP18 Doc. 69.4 | | BF, TD, CI, GA, JO, KE, LR, NE, NG, SD, SY | Acknowledge importance of having the guidance finalised but question the feasiblility and suitability of an in-session WG for that purpose. Consider alternative Secretariat suggestions. | **(–)** |
|  | 69.5 | | Implementing aspects of Resolution Conf. 10.10 (Rev. CoP17) on the closure of ***domestic ivory markets*** | | BF, CI, ET, GA, KE, LR, NE, NG, SY | Contest conclusions re. EU ivory market (para. 28); highlight ongoing EU efforts.  Oppose proposed changes to Res. Conf. 10.10 and associated draft Decisions as disproportionate and partly unclear. | **–** |
| 70. | Hawksbill turtle (*Eretmochelys imbricata*) and other **marine turtles** (*Cheloniidae* and *Dermochelyidae*) | | | | Sec. | Support to continue the work through draft decisions proposed by the Secretariat and to establish an intersessional working group on marine turtles. | **+** |
| 71. | **Asian big cats** (*Felidae* spp.) | | | |  |  |  |
|  | 71.1 | | Report of the Standing Committee | | SC | *Document not yet available on 1/3.* |  |
|  | 71.2 | | Draft decisions on Asian big cats CoP18 Doc. 71.2 | | IN | Support in general to efforts to monitor and better manage trade in Asian big cats. However, the document needs to be considered once the report of the Standing Committee is made available, to avoid overlaps or inconsistencies.  Before adoption, the suggested draft Decision would need further substantial analysis and amendments. The link to Res. Conf. 12.5 (Rev. 17) should also be considered. | **0** |
| 72. | **Seahorses** (*Hippocampus* spp.) on CITES – a roadmap to success | | | | MV, MC, LK, US | Support the set of Decisions to initiate discussion about management and sustainable use of seahorses in the Animals and Standing Committees. | **+** |
| 73. | Great **apes** (*Hominidae* spp.)  CoP18 Doc. 73 | | | | SC, Sec. | Support amendments to Resolution Conf. 13.4 (Rev. CoP16) on Conservation of and trade in great apes as proposed by the Secretariat, as well as deletion of Decisions 17.232 and 17.233. The proposal is based on the report on the status of great apes and the relative impact of illegal trade and other pressures on their status, which was prepared in collaboration between the Secretariat, IUCN, GRASP and other partners, and has been considered by AC30 and SC70. Some editorial changes might be desirable. | **+** |
| 74. | **Rosewood** timber species [*Leguminosae* (*Fabaceae*)]  CoP18 Doc. 74 | | | | PC | Support adoption of draft decisions. | **+** |
| 75. | **Pangolins** (*Manis spp.*)  CoP18 Doc. 75 | | | | Sec., incorporating SC proposal | Support the set of draft decisions as recommended by the Standing Committee (SC69), with amendments suggested by the Secretariat, and support deletion of Decisions 17.239 - 17.240. | **+** |
| 76. | African **lion** (*Panthera leo*) | | | |  |  |  |
|  | 76.1 | | Report of the Secretariat | |  | *Document not yet available on 1/3.* |  |
|  | 76.2 | | Conservation of and trade in African lions | | NG, TG | Support in general further measures to control international trade in lion parts and derivatives, although some elements of the draft Resolution might need substantive rewording. The document needs to be considered together with document 76.1 and doc. 96 (both not yet available). | **0** |
| 77. | **Jaguar** (*Panthera onca*) | | | |  |  |  |
|  | 77.1 | | Jaguar trade | | CR, MX | Support in general the set of draft Decisions calling for a study on illegal trade in jaguars; some editing might be appropriate, and some elements from document 77.2 could be added. | **(+)** |
|  | 77.2 | | Illegal trade in jaguar CoP18 Doc. 77.2 | | PE | Support in general to enhanced protection of jaguars. However, some of the proposed elements might be more appropriate in the form of Decisions, rather than a Resolution. To be considered whether the proposal or its parts should be merged with that by Costa Rica (77.1) into one set of decisions. | **0** |
| 78. | Illegal trade in **Tibetan antelope**  (*Pantholops hodgsonii*)  CoP18 Doc. 78 | | | | SC | Support to the draft recommendation and the proposal by the Secretariat to amend para 2b) of Resolution Conf. 11.8 (Rev. CoP17). | **+** |
| 79. | **Songbird** trade and conservation management (Passeriformes) | | | | US, LK | Generally support the proposal for the AC to consider the conservation implications of songbird trade. Potentially improve focus and clarify scope. | **+** |
| 80. | African cherry (***Prunus africana***) CoP18 Doc. 80 | | | | PC | Support adoption of draft decisions. Depending on the outcomes of the CITES Tree Species Programme Regional Meeting for Africa scheduled in March 2019, further revisions to draft Decisions could be needed. | **(+)** |
| 81. | **African grey** parrots  (*Psittacus erithacus*) | | | | ZA | Open to prolonging the deadline for registrations of breeding facility. However, more information on possible repopulation of *Psittacus erithacus* is needed before agreeing to adding new paragraph f) to the Decision 17.256. Amendments to the text seem to be necessary before adoption. | **(+)** |
| 82. | **Banggai cardinalfish**  (*Pterapogon kauderni*) | | | | AC | Support the set of draft decisions; the EU is ready to support Indonesia in implementing Decision 18.AA. | **+** |
| 83. | **Rhinoceroses** (Rhinocerotidae spp.) | | | |  |  |  |
|  | 83.1 | | Report of the Standing Committee and the Secretariat | |  | *Document not yet available on 1/3.* |  |
|  | 83.2 | | Revisions to Resolution Conf. 9.14 (Rev. CoP17) on *Conservation of and trade in African and Asian rhinoceroses*, and associated decisions | | KE | Proposed amendments to the Resolution on (a) closing domestic markets; (b) including privately held rhino horn in registration of stockpiles and destruction of stockpiles; (c) regular reporting by Parties to SC. Further scrutiny of conservation benefits needed, and proposed amendments and draft Decisions need to be fully analysed once doc. 83.1 is available. | **(0)** |
| 84. | **Helmeted hornbill** (*Rhinoplax vigil*) CoP18 Doc. 84 | | | | SC | Support draft Decisions in Annex 1, including the Secretariats proposed amendments, and delete Decisions 17.264, 17.265 and 17.266. | **+** |
| 85. | **Queen conch** (*Strombus gigas*) | | | |  | *Document not yet available on 1/3.* |  |
| 86. | **Saiga** antelope (*Saiga* spp.)  CoP18 Doc. 86 | | | | SC | Support the document which is based on the outcome of discussions in the Standing Committee and targets the saiga range states in order to improve management of stockpiles of parts and derivatives of the species, to improve their capacities to tackle illegal trade, and to enhance in situ and ex situ conservation efforts; support the proposal by the Secretariat to involve the Animals Committee in implementation of one decision. The decisions may need some modifications depending on the outcome on uplisting of the whole genus *Saiga* spp. in Appendix I is agreed.  Consider whether some elements of Decisions 17.268, 17.269 and 17.270 should be kept. | **+** |
| 87. | Conservation of the **Titicaca water frog** (*Telmatobius culeus*) | | | |  | *Doc. not yet available on 1/3.* |  |
| 88. | **Tortoises** and freshwater turtles (Testudines spp.)  CoP18 Doc. 88 | | | | SC, Sec. | Generally support the draft revised version of Resolution Conf 11.9 on *Conservation of and trade in tortoises and freshwater turtles* and the draft decisions proposed by the Secretariat; consider the need to focus future work on practical needs. | **(+)** |
| 89. | **Totoaba** (*Totoaba macdonaldi*) CoP18 Doc. 89 | | | | Sec. | Support draft Decisions on further collecting of information from Parties; awareness-raising and enforcement measures; study on vaquita and totoaba (outline agreed by SC) to be carried out by Sec. | **+** |
| 90. | Black Sea **bottlenose dolphin** (*Tursiops truncatus ponticus*)  CoP18 Doc. 90 | | | | AC | Support draft Decision for Secretariat to cooperate with ACCOBAMS. | **+** |
| 91. | Conservation of **vicuña** (*Vicugna vicugna*) and trade in its fibre and products | | | | AR | Generally support the draft resolution on conservation of vicuna and trade in its fibre and products; the document was prepared under the Convention for the Conservation and Management of Vicuña. Further discussion needed on format and drafting of the text. *Consider jointly with no. 53.* | **(+)** |
| 92. | **Appendix-I** listed species  CoP18 Doc. 92 | | | | Sec., AC, PC | Support deletion of Decisions 17.22 to 17.25. Support adoption. | **+** |
| 93. | **Neotropical tree** species  CoP18 Doc. 93 | | | | PC | Support adoption of draft decisions. | **+** |
| 94. | Conservation management of and trade in **marine ornamental fishes** CoP18 Doc. 94 | | | | CH, US, EU | Support draft Decisions (co-proposed by the EU). | **+** |
| 95. | Guidance materials, activities and tools aimed at enhancing Parties’ capacity to regulate **bushmeat** trade | | | |  | *Document not yet available on 1/3.* |  |
| 96. | **African Carnivore Initiative** | | | |  | *Document not yet available on 1/3.* |  |
| 97. | West African **vulture** trade and conservation management  CoP18 Doc. 97 | | | | BF, NE, SN | Support the set of decisions that will promote synergies with the Convention on Migratory Species (CMS). Addressing illegal poisoning and the belief-based trade is very important, and there are strong links between the elephant/ rhino poaching and the vulture-poisoning events. One of the species addressed in the document, the Egyptian vulture, breeds regularly in the EU and winters in Africa. | **+** |
| Maintenance of the Appendices | | | | |  |  |  |
| 98. | **Reservations** with respect to amendments to Appendices I and II CoP18 Doc. 98 | | | | Sec. | Support proposed amendments to Res. Conf. 4.25 on *Reservations*, to confirm the 90-day deadline for reservations to App. I and II listings, and to clarify when the withdrawal of a reservation becomes effective. | **+** |
| 99. | Standard **nomenclature**  CoP18 Doc. 99 | | | | AC, PC, Sec. | Support proposed amendments to Annex to Res. Conf. 12.11 and associated draft Decisions. | **+** |
|  | Annex 5: Proposed New CITES Standard References for Nomenclature of Birds (Class Aves)  CoP18 Doc. 99 A5 | | | |  | Take note of consultant's report on standard references for nomenclature of birds. |  |
|  | Annex 6: Proposed changes in the published literature concerning nomenclature of CITES-listed animal species for which the Animals Committee, at the time of CoP18 document submission, has not yet reached a recommendation on adoption or rejection for CITES purposes  CoP18 Doc. 99 A6 | | | |  |  |  |
| 100. | Inclusion of species in **Appendix III** | | | |  | *Document not yet available on 1/3.* |  |
| 101. | **Annotations**  CoP18 Doc. 101 | | | | SC | Support proposed amendment to Res. Conf. 11.21 (Rev. CoP17), revision to Paragraph 7 of the Interpretation Section of the CITES Appendices, and draft decisions contained in Annex 4. Support proposed amendments to Decision 16.162 (Rev. CoP17) but remain open to potential changes depending on the outcome on annotation #15. | **+** |
| 102. | Annotations for Appendix-II **orchids** CoP18 Doc. 102 | | | | SC | Support draft definition of the term ‘cosmetics’, and adoption of proposed decisions. | **+** |
| 103. | Guidance for the **publication** of the Appendices  CoP18 Doc. 103 | | | | CA | Consider the need for the proposed guidance on annotations, also in light of forthcoming Sec. comments. | **0** |
| 104. | Review of Resolution Conf. 10.9 on *Consideration of proposals for the transfer of African* ***elephant populations from Appendix I to Appendix II***CoP18 Doc. 104 | | | | SC | Support proposed repeal of the Resolution and deletion of associated Decision. | **+** |
| **Proposals to amend the Appendices** | | | | | | | |
| 105. | Proposals to amend Appendices I and II | | | |  | *Listing proposals are addressed in Part 2 of this document, below.* |  |
| **Conclusion of the meeting** | | | | | | | |
| 106. | Determination of the time and venue of the next regular meeting of the Conference of the Parties (no document) | | | |  | No document |  |
| 107. | Closing remarks (Observers, Parties, CITES Secretary-General, Host Government) (no document) | | | |  | No document |  |

# Listing Proposals

| **No.** | **Taxon / Detail** | **Proposal** | **Proponent** | **Comments** | **Position** |
| --- | --- | --- | --- | --- | --- |
| 1 | *Capra falconeri heptneri* (Heptner's markhor) (population of Tajikistan) | I - II  Transfer the population of Tajikistan from App. I to App. II | Tajikistan | Population seems to be increasing and hunting management under the CITES provisions for Appendix I species has generated revenues going back into the communities and conservation. However, the proposal does not contain strong evidence to demonstrate that the precautionary measures in Annex 4 of Res. Conf. 9.24 are satisfied; a downlisting would lead to increased volume of trade without guarantees that the revenues would be returned to conservation. IUCN recommended to continue and reinforce the current management. | – |
| 2 | *Saiga tatarica* (Saiga antelope) | II – I  Transfer from App. II to App. I | Mongolia,  United States of America | Further analysis and clarification of the taxonomic issues is needed, including on whether a nomenclature different from the standard nomenclature adopted by the CITES CoP can be used in listing proposals (see Res. 9.24 Annex 6, section C-1.4.). The proposal considers all recent Saiga to be one species, in line with the IUCN Red List. However, the currently valid CITES taxonomic reference separates the Mongolian populations as *S. borealis*. It therefore seems that the population of Mongolia / *S. borealis*, is not covered by the proposal. In such situation, the proposal should be opposed to avoid any negative impact of listing of *S. tatarica* in Appendix I on the population of *S. borealis.*  In case a clarification of nomenclature enables listing of the whole genus at CoP18, the EU should consider supporting such proposal, or an amended proposal resulting in the listing of the population of Mongolia / *S. borealis*.in Appendix I. | (–) |
| 3 | *Vicugna vicugna* (Vicuña) (population of the Province of Salta) | I – II  Transfer the population of the Province of Salta (Argentina) from App. I to App. II with annotation 1 | Argentina | Since 2006, the population size is increasing considerably, population monitoring seems to be good and 41 % (14.000 km²) of the habitat are protected. The only form of planned utilization is shearing of wild specimens.  *Consider jointly with working document no. 91.* | + |
| 4 | *Vicugna vicugna* (Vicuña) (population of Chile) | Amend the name of the population of Chile from “population of the Primera Región” to “populations of the region of Tarapacá and of the region of Arica and Parinacota” | Chile | Support – the proposal does not contain any substantive change to the listing and only adjusts a geographical name. | + |
| 5 | *Giraffa camelopardalis* (Giraffe) | 0 - II  Include in App. II | Central African Republic, Chad, Kenya, Mali, Niger and Senegal | Further evidence is needed to demonstrate that international trade contributes significantly to the decline of any populations and that the criteria for inclusion in Appendix II are met. Preferred way forward would be adoption of a set of decisions calling for an external study and for consideration by the Animals and Standing Committees. | 0 |
| 6 | *Aonyx cinereus* (Small-clawed otter) | II - I  Transfer from App. II to App. I | India, Nepal and the Philippines | Further confirmation needed on whether criteria for Appendix I listing are met. According to IUCN, pet trade on internet contributes to the recent rapid decline of the species. | 0 |
| 7 | *Lutrogale perspicillata* (Smooth-coated otter) | II - I  Transfer from App. II to App. I | Bangladesh, India and Nepal | It needs to be confirmed whether the species meets biological criteria for Appendix I listing. There are low levels of legal international trade, but uplisting could help protecting the species as the volume of illegal trade is important. | (+) |
| 8 | *Ceratotherium simum simum* (Southern white rhinoceros)(population of Eswatini) | Remove the existing annotation for the population of Eswatini | Eswatini | Oppose. The population continues to meet App. II listing criteria, but removal of the annotation as proposed would not meet the precautionary safeguards set out in Para A. 2. a) in Annex 4 of Res. Conf. 9.24. Resumption of rhino horn trade would send a wrong signal at this point in time, given the high level of poaching and illegal trade. It would also undermine demand reduction action by many Parties for this species. | – |
| 9 | *Ceratotherium simum simum* (Southern white rhinoceros)(population of Namibia) | I - II  Transfer of the population of *Ceratotherium simum simum* of Namibia from Appendix I to Appendix II with the following annotation:  “For the exclusive purpose of allowing international trade in:   1. live animals to appropriate and acceptable destinations; and 2. hunting trophies.   All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.” | Namibia | Namibia’s population is in good health; it appears to no longer meet the Appendix I criteria and to fulfil the precautionary measures for an App. II down listing; the annotation seems to be very limited and the same as the one already in place for South Africa and Swaziland. Successful conservation of the species in Namibia needs to be recognized.  Potential risks associated with the proposal should be further reviewed. | (+) |
| 10 | Loxodonta  africana (African elephant) | I - II  Transfer the population of Zambia from Appendix I to Appendix II subject to:   1. Trade in registered raw ivory (tusks and pieces) for commercial purposes only to CITES approved trading partners who will not re-export. 2. Trade in hunting trophies for non­commercial purposes; 3. Trade in hides and leather goods. 4. All other specimens shall be deemed to be specimens of species in Appendix I and the trade in them shall be regulated accordingly. | Zambia | The annotation suggested by Zambia would re-open international ivory trade and cannot be supported as it stands. | – |
| 11 | *Loxodonta  africana* (African elephant)  (populations of Botswana, Namibia, South Africa and Zimbabwe)  Amendment of annotation 2 | Amendment of annotation 2:  “For the exclusive purpose of allowing:  [...]  g. trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe, whole tusks and pieces) subject to the following:   * 1. only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin);   2. only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP17) concerning domestic manufacturing and trade;   3. not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks;   4. ~~raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12, which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa);~~   5. ~~in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph (g) iv) above, in a single sale per destination under strict supervision of the Secretariat;~~   6. the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and   7. ~~the additional quantities specified in paragraph g) v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; and~~   h. ~~no further proposals to allow trade in elephant ivory from populations already in Appendix II shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs g) i), g) ii), g) iii), g) vi) and g) vii). In addition such further proposals shall be dealt with in accordance with Decisions 16.55 and 14.78 (Rev. CoP16).~~  […]” | Botswana, Namibia and Zimbabwe | The requested change would result in opening up international ivory trade, and therefore does not meet the precautionary measures in Annex 4 to Res. Conf. 9.24 and is premature. | – |
| 12 | *Loxodonta  africana* (African elephant)  (populations of Botswana, Namibia, South Africa and Zimbabwe) | II - I  Transfer the populations of Botswana, Namibia, South Africa and Zimbabwe from App. II to App. I | Burkina  Faso, Côte d’Ivoire, Gabon, Kenya,  Liberia, Niger, Nigeria, Sudan, Syrian Arab Republic and Togo | These 4 populations do not meet App. I criteria and the range states in question do not agree with the uplisting. | – |
| 13 | *Mammuthus primigenius* (Woolly mammoth) | 0 – II  Include in App. II | Israel | The purpose of CITES is to protect species from overexploitation and extinction. Look-alike issues do not seem to be a sufficient argument for listing mammoth. Evidence for misidentification and laundering on a large scale has not been provided, and elephant and mammoth ivory dentine displays unique characteristics which can be readily distinguished by a non-expert. Listing on App. II would not be proportionate with the scale of the risk posed (potentially generating lots of permits with no, or limited, conservation benefit). | – |
| 14 | *Leporillus conditor* (Greater stick-nest rat) | I - II  Transfer from App. I to App. II | Australia | Transfer to Appendix II recommended by the CITES Animals Committee. The species does not occur in international trade. | + |
| 15 | *Pseudomys fieldi praeconis* (Shark Bay mouse) | I - II  Transfer from App. I to App. II | Australia | Transfer to Appendix II recommended by the CITES Animals Committee. The species does not occur in international trade. | + |
| 16 | *Xeromys myoides* (False swamp rat) | I – II  Transfer from App. I to App. II | Australia | Transfer to Appendix II recommended by the CITES Animals Committee. The species does not occur in international trade. | + |
| 17 | *Zyzomys pedunculatus* (Central rock rat) | I – II  Transfer from App. I to App. II | Australia | Transfer to Appendix II recommended by the CITES Animals Committee. The species does not occur in international trade. | + |
| 18 | *Syrmaticus reevesii*  (Reeves's pheasant) | 0 – II  Include in App. II | CN | Only the population of China meets the listing criteria for inclusion in Appendix II. Open to support a narrower proposal which provides that the administrative burden linked to management of trade in specimens from introduced populations remains proportionate. This may be achieved through limiting the listing in Appendix II to the population of China, or by listing the species by China in Appendix III. | (0) |
| 19 | *Balearica pavonina* (Black crowned-crane) | II - I  Transfer from App. II to App. I | Burkina Faso, Côte d’Ivoire and Senegal | Unclear whether the listing criteria are met, and whether the up-listing would help to fight illegal trade. Analysis needed of potential impact on trade in *Balearica regulorum* (Appendix II) which is more endangered (IUCN Red List). – Two range states are currently subject to a trade suspension under the Review of Significant Trade (RST) process. The other range States were removed from the process as of no concern. AC has not been consulted as required in Annex 6, item 10 of Res. Conf. 9.24 where proposals to transfer App. II species to App. I are subject to RST. It might be better to await the outcome of the RST before uplisting. | 0 |
| 20 | *Dasyornis broadbenti litoralis* (Lesser rufous bristlebird) | Transfer from App. I to App. II | Australia | The proposal results from the CITES Periodic Review and concerns a species that is not in trade (considered as extinct, last observation in 1906). | + |
| 21 | *Dasyornis longirostris* (Long-billed bristlebird) | I - II Transfer from App. I to App. II | Australia | The proposal results from the CITES Periodic Review and concerns a species that is not in trade. | + |
| 22 | *Crocodylus acutus* (American crocodile) (population of Mexico) | I - II  Transfer the population of Mexico from App. I to App. II | Mexico | Support transfer from App. I to App. II if Mexico sets a 0 quota for speciments from the wild (source code W). | (+) |
| 23 | *Calotes nigrilabris* and *Calotes pethiyagodai* (Garden lizards) | 0 – I  Include in App. I | Sri Lanka | *Biological* criteria for listing in CITES Appendices appear to be met but insufficient evidence to demonstrate that current or anticipated levels of *trade* are detrimental to its survival in the wild.  After the taxonomic split of *Calotes liocephalus* and *C. pethiyagodai* the national status became "Critically Endangered" for *C. liocephalus,* which is not proposed for listing, although it is even more threatened than *C. pethivagodai.* As the two species have been considered as one species previously and as such can hardly be differentiated, a listing of only *C*. *pethiyagodai* in Appendix I might cause conservation problems for *C. liocephalus* or trade in *C*. *pethiyagodai* may continue as *C. liocephalus.* There are also issues with individual marking of the specimens if listed in Appendix I, due to their small size and change in colour. | 0 |
| 24 | *Ceratophora* spp. (Horned lizards) | 0 – I  Include in App. I | Sri Lanka | Oppose to the genus listing in Appendix I, but agree to include *C. erdeleni, C. karu,* and *C. tennenti* in Appendix I and *C. stoddartii* and *C. asper* in Appendix II.  Biological criteria for Appendix I are met for the three species (out of five species in the genus): *C. karu, C. erdelen*i and *C. tennentii*. Appendix II seems more warranted for the other two species *C. aspera & C. stoddartii* which are more common, but also more in trade; to be also noted that there is no “lookalike” criterion for listing in Appendix I (Annex 1 of Res Conf. 9.24 (Rev. CoP17)). | (+) |
| 25 | *Cophotis ceylanica* and *Cophotis dumbara* (Pygmy lizards) | 0 – I  Include in App. I | Sri Lanka | The proposal appears to meet biological criteria; offtakes of even small numbers may have a significant impact on remaining populations. These are the only 2 species in this genus, and both are endemic to Sri Lanka and listed as highly threatened on Sri Lanka National Red List (2012). Further evidence of recent international trade in wild caught specimens would support the listing in Appendix I, otherwise Appendix II listing might be more appropriate. | + |
| 26 | *Lyriocephalus scutatus (*Hump-nosed lizard) | 0 – I  Include in App. I | Sri Lanka | Support an Appendix II listing, since Appendix I listing criteria are not met but App. II criteria are. | (–) |
| 27 | *Goniurosaurus spp.* (Leopard geckos) (populations of China and Viet Nam) | 0 - II  Include species of China and Viet Nam in App. II | China, European Union, Viet Nam | Co-proposed by the EU.  Request correction of the listing proposal's presentation on the CITES CoP18 website from “*populations* of China and Vietnam” into “*species* of China and Vietnam”, in line with the text of the listing proposal as originally submitted. | + |
| 28 | *Gekko gecko* (Tokay gecko) | 0 - II  Include in App. II | European Union, India, Philippines, United States of America | Co-proposed by the EU. | + |
| 29 | *Gonatodes  daudini* (Grenadines clawed gecko) | 0 - I  Include in App. I | Saint Vincent and the Grenadines | The species meets the biological criteria for listing in Appendix I. International trade reported shortly after discovery of the species and still ongoing, even though collecting in the natural habitat is not allowed. | + |
| 30 | *Paroedura androyensis* (Grandidier's Madagascar ground gecko) | 0 – II  Include in App. II | European Union, Madagascar | Co-proposed by the EU. | + |
| 31 | *Ctenosaura*spp. (Spiny-tailed iguanas) | 0 - II  Include in App. II | El Salvador and Mexico | The proposal meets the listing criteria; several species of the genus could become eligible for inclusion in Appendix I in the future if trade is not regulated as they have either small populations, restricted areas of distribution and have experienced population declines, or a combination of these three criteria, and are highly vulnerable to either intrinsic or extrinsic factors. | + |
| 32 | *Pseudocerastes urarachnoides* (Spider-tailed horned viper) | 0 - II  Include in App. II | Iran | More information needed to demonstrate that the *trade* criterion is met. There is limited information available in the proposal on the extent of collection from the wild and international trade of the species (with evidence of only a small handful of individuals appearing in trade outside of its range State), and although the species is classed as “nationally endangered”, there is a lack of data to indicate population size, distribution, or whether the species is in decline. | 0 |
| 33 | *Cuora bourreti* (Bourret’s box turtle) | II - I  Transfer from App. II to App. I | Viet Nam | Support transfer to App. I; a "critically endangered" species that meets at least criterion C.i) in Annex 1 of Res. Conf. 9.24 and is heavily traded. | + |
| 34 | *Cuora picturata* (Vietnamese box turtle) | II - I  Transfer from App. II to App. I | Viet Nam | Support transfer to App.I, a "Critically Endangered" species that meets all biological criteria of Res. Conf. 9.24 for Appendix I listing and is heavily traded. The proposal is arising from a Periodic Review recommendation. | + |
| 35 | *Mauremys  annamensis* (Annam leaf turtle) | II – I  Transfer from App. II to App. I | Viet Nam | Support transfer to App. I – a "Critically Endangered" species that it meets at least criterion C. i) in Annex 1 of Res. Conf. 9.24 and is heavily traded. The proposal is arising from a Periodic Review recommendation. | + |
| 36 | *Geochelone  elegans* (Star tortoise) | II - I  Transfer from App. II to App. I | Bangladesh, India, Senegal and Sri Lanka | The listing is proposed jointly by the majority of range states. Nevertheless, more information would be useful to confirm that the biological criteria in Annex 1 of Res. Conf. 9.24 are satisfied. The illegal trade is of great concern, although harvest and trade in wild specimens is already prohibited in the range States. The concerns around mis-use of source code C and potential laundering of wild specimens through captive breeding operations is already being addressed by CITES under Resolution Conf. 17.7. | (+) |
| 37 | *Malacochersus tornieri* (Pancake tortoise) | II – I  Transfer from App. II to App. I | Kenya, United States of America | Support to the proposal. Most recent Red List Assessment (2018)has assessed the species as "Critically Endangered". Proposal seems to be proportionate to the anticipated risks to the species, which is in considerable demand from the trade sector and affected by illegal trade. Overexploitation is reported as a main factor currently affecting populations of the species. The species seems to meet the Appendix I listing criteria. | + |
| 38 | *Hyalinobatrachium* spp., *Centrolene* spp., *Cochranella* spp., and *Sachatamia* spp. (Glass frogs) | 0 - II  Include in App. II | Costa Rica, El Salvador | The EU could support a narrower proposal if the proponents decide to limit it to those species for which it can be demonstrated that they meet the listing criteria. However, due to lack of population data, and as reported trade occurs mostly in species of “Least Concern”, the inclusion in App. II of all 104 species belonging to four genera does not seem proportionate. Further information is needed on those species for which trade is most prevalent. | (–) |
| 39 | *Echinotriton chinhaiensis* and *Echinotriton maxiquadratus* (Spiny newts) | 0 – II Include in App. II | China | The species meet the biological criteria for listing in Appendix I. Their conservation would benefit from the international protection. Even though international trade levels appear to be low, *any* trade in wild specimens could be detrimental to the survival of populations, as populations are extremely small and decreasing.  *Echinotriton* has been split from *Tylototriton* (listing proposal no 41) only in 1982. Therefore, listing both genera is also appropriate on the basis of the look-alike criterion. | + |
| 40 | *Paramesotriton spp.* (Asian warty newts) | 0 – II  Include in App. II | China, European Union | Co-proposed by the EU. | + |
| 41 | *Tylototriton spp.* (Crocodile newts) | 0 – II  Include in App. II | China, European Union | Co-proposed by the EU. | + |
| 42 | *Isurus oxyrinchus*and *Isurus paucus* (Mako sharks) | 0 - II  Include in App. II | Bangladesh, Benin, Bhutan, Brazil, Burkina Faso, Cabo Verde, Chad, Côte d’Ivoire, Dominican Republic, Egypt, European Union, Gabon, Gambia, Jordan, Lebanon, Liberia, Maldives, Mali, Mexico, Nepal, Niger, Nigeria, Palau, Samoa, Senegal, Sri Lanka, Sudan, Togo | Co-proposed by the EU. Position to be finalised taking into account the results of assessments by the FAO Expert Advisory Panel, the CITES Secretariat and IUCN. | (+) |
| 43 | *Glaucostegus spp.* (Guitarfishes) | 0 - II  Include in App. II | Bangladesh, Benin, Bhutan, Brazil, Burkina Faso, Cabo Verde, Chad, Côte d’Ivoire, Egypt, European Union, Gabon, Gambia, Maldives, Mali, Mauritania, Monaco, Nepal, Niger, Nigeria, Palau, Senegal, Sierra Leone, Sri Lanka, Syrian Arab Republic, Togo, Ukraine | Co-proposed by the EU. | + |
| 44 | *Rhinidae spp.* (Wedgefishes) | 0 – II  Include in App. II | Bangladesh, Benin, Bhutan, Brazil, Burkina Faso, Cabo Verde, Chad, Côte d’Ivoire, Egypt, Ethiopia, European Union, Fiji, Gabon, Gambia, India, Jordan, Kenya, Lebanon, Maldives, Mali, Mexico, Monaco, Nepal, Niger, Nigeria, Palau, Philippines, Saudi Arabia, Senegal, Seychelles, Sri Lanka, Sudan, Syrian Arab Republic, Togo and Ukraine | Co-proposed by the EU. | + |
| 45 | *Holothuria (Microthele) fuscogilva, Holothuria (Microthele) nobilis, Holothuria (Microthele) whitmaei* (Sea cucumbers) | 0 - II  Include in App. II | European Union, Kenya, Senegal, Seychelles, United States of America | Co-proposed by the EU. | + |
| 46 | *Poecilotheria* spp. (Ornamental spiders) | 0 - II  Include in App. II | Sri Lanka, United States of America | Support tentatively. All species appear to meet at least some of the Appendix II listing criteria. There could be difficulties in implementation with regard to identification of captive-bred specimens. | (+) |
| 47 | *Achillides chikae hermeli* (Mindoro peacock swallowtail) | 0 - I | European Union, Philippines | Co-proposed by the EU. | + |
| 48 | *Parides burchellanus* (Riverside swallowtail) | 0 - I  Include in App. I | Brazil | Support to the proposal. *This species meets Appendix I listing criteria. The species* occurs in trade numbers and due to the small population size, any trade could be detrimental. | + |
| 49 | *Handroanthus* spp*.,  Tabebuia* spp. and  *Roseodendron* spp. (Trumpet trees) | 0 - II  Include in App. II with annotation #6 | Brazil | Support. The criteria in Resolutions 9.24 and 11.21 are met. Species of the genus *Handroanthus* are known to be in trade for construction and outdoor application. Scientific reviews suggest that this trade is responsible for the population decline and has a detrimental impact on the status of the species. *Tabebuia* and *Roseodendron* are in trade under the same trade and scientific name and the timber is difficult to distinguish from *Handroanthus*. The scope of the proposal is well justified by look-alike reasons. The EU is a significant importer worldwide. | + |
| 50 | *Widdringtonia whytei* (Mulanje Cedar) | 0 – II  Include in App. II | Malawi | Oppose unless Malawi presents evidence of international trade detrimental to the conservation of the species. The species may benefit from an Appendix III listing instead. | (–) |
| 51 | *Dalbergia sissoo* (North Indian rosewood) | II - 0  Delete from App. II | Bangladesh, Bhutan, India and Nepal | The species is common and does not meet the biological criteria for App II, but most likely still meets the look-alike criterion of Res 9.24 (criterion A of Annex 2 b). This proposal should also be seen in conjunction with proposed amendments to annotation #15. | (–) |
| 52 | *Dalbergia*spp., *Guibourtia demeusei*, *Guibourtia pellegriniana*, *Guibourtia tessmannii* (Rosewoods, Palisanders and Bubingas)  Amendment of  Annotation # 15 | II - II  Amend annotation #15 as follows:  “All parts and derivatives, except:   1. leaves, flowers, pollen, fruits, and seeds; 2. finished products to a maximum weight of wood of the listed species of 500g per item; 3. finished musical instruments, finished musical instrument parts and finished musical instrument accessories; 4. parts and derivatives of *Dalbergia cochinchinensis*, which are covered by annotation #4;   parts and derivatives of *Dalbergia* spp. originating and exported from Mexico, which are covered by annotation #6." | Canada, European Union | Co-proposed by the EU. | + |
| 53 | *Pericopsis elata* (African rosewood)  Amendment of  Annotation #5 | Expand the scope of the annotation for *Pericopsis elata* (currently #5) to include plywood and transformed wood as follows:  "Logs, sawn wood, veneer sheets, plywood, and transformed wood1.”  1 Whereby transformed wood is defined by HS code 44.09: Wood (including strips, friezes for parquet flooring, not assembled), continuously shaped (tongued, grooved, v-jointed, beaded or the like) along any edges, ends or faces, whether or not planed, sanded or end-jointed. | Côte d’Ivoire,  European Union | Co-proposed by the EU. | + |
| 54 | *Pterocarpus tinctorius* (African padauk*)* | 0 - II  Include in App. II | Malawi | Support but propose an annotation (potentially amended annotation #5). The species meets the biological criteria for listing in Appendix II as well as the trade criterion (exploitation is a significant threat to the conservation of the species, with illegal logging having boomed over the last years to meet the Asian demand). Inclusion in Appendix II would provide leverage to combat illegal trade. | (+) |
| 55 | *Aloe ferox* (Bitter aloe)  Amendment of Annotation #4 | II - II  "Amend annotation #4 for *Aloe ferox* as follows: All parts and derivatives, except: […]  f. finished products1 of *Aloe ferox* and *Euphorbia antisyphilitica* packaged and ready for retail trade.  1This term, as used in the CITES Appendices refers to product, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public." | South  Africa | Support but propose draft decision(s) tasking the Plants Committee to monitor the impact of the proposed amendment and implementation of management measures. The criteria of Resolution 11.21 are met. | + |
| 56 | *Adansonia grandidieri*  (Grandidier's baobab)  Amendment of Annotation #16 | II – II  "Seeds, fruits, oils and living plants” to the listing of *Adansonia grandidieri*  in Appendix II by deleting reference to live plants, so as to read: "#16 Seeds, fruits and oils" | Switzerland | Support. The criteria of Resolution 11.21 are met. | + |
| 57 | *Cedrela*spp (Cedars) | 0 - II  Include in App. II | Ecuador | Support if an annotation is included in the proposal with a view to restricting CITES controls to those commodities that first appear in international trade as exports from range States (to be assessed and discussed with proponents whether e.g. the proposed amended Annotation #5 would be appropriate), and if the proposal is restricted to the populations of Neotropics. The taxon meets the biological criteria for listing in Appendix II (there is clear evidence of diminution of population size) as well as the trade criterion (some species of the genus are significantly traded at international level, with exploitation being recognized as a threat to the conservation of the species). The EU is a minor importer worldwide. | (+) |

ANNEX […]

1. Sec. = CITES Secretariat, SC = Standing Committee, AC = Animals Committee, PC = Plants Committee. For country codes, see ISO 3166. [↑](#footnote-ref-2)